



PREQUALIFICATION APPLICATION

Interested Subcontractor:

Each Frank L. Blum Construction subcontractor with a contract greater than \$100,000 must submit a completed Prequalification Application.

In addition to the Prequalification Application, the following documents are required:

- A current Balance Sheet and Income Statement
- A certified and current letter from your bonding agent stipulating bonding capacity per project, aggregate limit, bond rate, surety and surety rating (See bonding requirements below)
- Completed IRS W-9
- Certificate of Insurance (The required limits are outlined in FLB's Standard Terms)
- Limits for Worker's Compensation
- Minority, Women, or HUB Certification Letter

Performance and Payment Bonds may be required on all subcontracts of \$100,000 or greater. A scope of work less than this amount does not relieve the subcontractor from providing the bonding information listed above as a part of the Application. If you are unable to bond, please state as such in the Application. Certain bid packages will require performance and payment bonds regardless of the value of the scope of work. These requirements will be stipulated in the individual bid packages.

Please submit your completed application to Bill Ball, Chief Financial Officer, 830 East 25th Street, Winston-Salem, NC 27105. Facsimile and electronic submissions are acceptable and should be sent to (336) 722-2104 or bball@flblum.com, respectively. Once your submitted application has been received and reviewed, you will be notified of your approval status. At Frank L. Blum Construction Company's sole discretion, and after careful review of the subcontractor's current status, a subcontractor's qualification status can be changed for any reason and at any time if the change is deemed to be in the best interest of Frank L. Blum Construction Company or the Owner.

Sincerely,

Bill Ball
Chief Financial Officer



PART 1: COMPANY OVERVIEW

Company Name: _____

Address: _____

Corporation

Partnership

Individual

Joint Venture

Other

Describe _____

Principal Office _____

Address: _____

Dun & Bradstreet No: _____

Federal ID or SS#: _____

Phone: _____

Fax: _____

Email Address: _____

Website: _____

Contact Name: _____

Subcontractors Scope of Work: _____

Indicate all licenses and license numbers held:



PART 2: ORGANIZATION

1. How many years has your company been in business? _____
2. How many years has your company been in business under the current name? _____
3. How many years has your company been in business under the current ownership?

4. List any former names your company has operated under: _____

5. Is your company a subsidiary or an affiliate of another company? _____

If yes, list the name of the firm(s): _____

6. If your company is a corporation please provide the date of incorporation, state of incorporation, and names of company officers: _____

7. If your company is a partnership please provide the date of partnership, type of partnership, and names of partners: _____

8. If your company is individually owned please provide the date of organization and name of the owner: _____

9. Is your firm certified as Minority Owned, Woman Owned, Historically Underutilized Business or Small Business Enterprise? _____

If yes, please list all that apply: _____



PART 3: CLAIMS & DISPUTES

1. Have there been any judgments, claims, or arbitration proceedings, or suits pending against your organization or its officers within the last 5 years? _____

If yes, please list, describe, and provide a case number: _____

2. Has your company filed any lawsuits or requested arbitration within the last five years? _____

If yes, please list, describe, and provide a case number: _____

PART 4: COMPANY EXPERIENCE

1. List the categories of work that your firm normally performs with its own forces:

2. Has your company ever failed to complete any work that it was awarded? _____

If yes, please provide a description: _____

3. **On a separate sheet please list the construction projects your company currently has ongoing. Include the project name, owner, architect, contract value, and completed status.**



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- 4. On a separate sheet please list 3 major projects that your company has completed in the last 5 years that are similar in size, complexity, and scope to the project you wish to be prequalified for. Include the project name, owner, architect, contract value, major subcontractors, equipment manufacturers and suppliers with contracts over \$5,000, and completed status.

PART 5: REFERENCES

- 1. Please list 4 trade references and a bank reference. Provide contact information for each. For the trade references, please also list \$ value of current credit limits:

- 2. Please list the following bonding information:

- a. Surety bonding company: _____
- b. Surety agent: _____
- c. Cost of bond rate for contract size for this project: _____
- d. Bonding capacity per project: _____
- e. Aggregate bonding capacity: _____

Also, please attach a current certified letter from your bonding agent.

PART 6: FINANCIAL

- 1. Please attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement.

- 2. Indicate your annual volume for each of the last three years: _____

- 3. Attach your company's IRS Form W-9.



PART 7: SAFETY

1. Do you have a written safety program? _____
2. **Please attach your last three years OSHA 300 (A) Logs.**
3. What is your current EMR? _____
4. What is your current Workers Compensation "Schedule Rating Factor"? _____
5. Please list and describe any OSHA violations you have received in the last three years: _____

PART 8: INSURANCE

1. **Please attach your current certificate of insurance.**
2. Please provide the name of your insurance agent and contact information: _____

PART 9: SIGNATURE

Dated this: _____ day of: _____ 20:_____

Name of Organization: _____

By: _____

Title: _____

Signature: